

EIGENVALUES OF NONSINGULAR MATRICES
AND COMBINATORIAL APPLICATIONS

by

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ABSTRACT

The purpose of this article is to present a result about eigenvalues of nonsingular matrices and to observe that this result implies a theorem of this author on combinatorial designs as well as other combinatorial results. The material presented herein lends itself well for use as an illustration of some nontrivial applications in a first course in Linear Algebra; these applications may be mentioned right after the concepts of eigenvalue and eigenvector have been defined.

Throughout the sequel, J will denote the matrix having all its entries equal to $+1$, and I will denote the identity matrix. Subscripts will be used whenever it is necessary or convenient to emphasize the order of a matrix; thus, $A_{m,n}$ will be an m by n matrix, and A_m will be a square matrix of order m . The transpose of the matrix A will be A^T . The scalar μ is an eigenvalue of the matrix A_v with corresponding (nonzero) eigenvector $(a_1, a_2, \dots, a_v)^T$ if $A(a_1, a_2, \dots, a_v)^T = \mu(a_1, a_2, \dots, a_v)^T$.

Let $X = \{x_1, x_2, \dots, x_v\}$, and let X_1, X_2, \dots, X_v be subsets of X . The subsets X_1, X_2, \dots, X_v are said to form a (v, k, λ) -design if each X_j ($1 \leq j \leq v$) has k elements; each two distinct X_i, X_j ($1 \leq i, j \leq v$) intersect in λ elements; and $0 \leq \lambda < k < v-1$.

The preceding combinatorial design is completely determined by its incidence matrix; this is the $(0,1)$ -matrix $A = [a_{ij}]$ defined by taking $a_{ij} = 1$ if $x_j \in X_i$ and $a_{ij} = 0$ if $x_j \notin X_i$.

Let $0 \leq \lambda < k < v-1$. Then a $(0,1)$ -matrix A_v is the incidence matrix of a (v, k, λ) -design if and only if $AA^T = (k-\lambda)I + \lambda J$. More information about (v, k, λ) -designs is available, for example, in [1] and [3].

LEMMA. Let A be a v by v nonsingular matrix, and suppose that k is an eigenvalue of A with corresponding eigenvector $(1, 1, \dots, 1)^T$. Then $A(a_1, a_2, \dots, a_v)^T = \mu(1, 1, \dots, 1)^T$ for some scalar μ if and only if $a_1 = a_2 = \dots = a_v = \mu k^{-1}$.

Proof. First, it is observed that $k \neq 0$, for $\det A$ is the product of the v (not necessarily distinct) eigenvalues of A , and $\det A \neq 0$ since A is assumed nonsingular.

Now, using the hypotheses that k is an eigenvalue of A with corresponding eigenvector $(1, 1, \dots, 1)^T$, and that A is nonsingular, one sees that $A(a_1, a_2, \dots, a_v)^T = \mu(1, 1, \dots, 1)^T$ for some scalar μ if and only if $A[(a_1, a_2, \dots, a_v)^T - \mu k^{-1}(1, 1, \dots, 1)^T] = 0$, which holds if and only if $(a_1, a_2, \dots, a_v)^T = (\mu k^{-1}, \mu k^{-1}, \dots, \mu k^{-1})^T$.

The preceding Lemma yields the following more complete version of the Theorem in [2]:

COROLLARY 1. Suppose the subsets X_1, X_2, \dots, X_v of a set $X = \{x_1, x_2, \dots, x_v\}$ form a (v, k, λ) -design. Then, except for the empty set and X itself, X contains no subset Y that intersects each X_j ($1 \leq j \leq v$) in the same number λ_1 of elements.

Proof. Let A be the incidence matrix of the given (v, k, λ) -design; then A

is a v by v nonsingular matrix (for a proof of this, the reader is referred to the first 4 sentences in the proof of Theorem 2.1 on p. 103 of [3]) and k is an eigenvalue of A with corresponding eigenvector $(1, 1, \dots, 1)^T$; that is,

$$A(1, 1, \dots, 1)^T = k(1, 1, \dots, 1)^T.$$

If there exists a subset Y of X intersecting each X_j in the same number λ_1 of elements, then there is a vector $(a_1, a_2, \dots, a_v)^T$ (defined by taking $a_j = 1$ if $x_j \in Y$ and $a_j = 0$ if $x_j \notin Y$ for $j = 1, 2, \dots, v$) such that

$$A(a_1, a_2, \dots, a_v)^T = \lambda_1(1, 1, \dots, 1)^T.$$

Now, as a consequence of the Lemma above, it follows that $a_1 = a_2 = \dots = a_v$; therefore each $a_j = 0$, or each $a_j = 1$; that is, Y is the empty set, or $Y = X$.

The following three combinatorial results, all of which are mentioned in [2], are also simple consequences of the preceding Lemma (as well as of Corollary 1).

COROLLARY 2. (Theorem in [2]). *Suppose the subsets X_1, X_2, \dots, X_v of a set $X = \{x_1, x_2, \dots, x_v\}$ form a (v, k, λ) -design. Then there does not exist another subset X_{v+1} of X such that X_{v+1} has k_1 elements and X_{v+1} intersects each X_j ($1 \leq j \leq v$) in λ_1 elements, where $0 < k_1 < v$ and $0 < \lambda_1 < k$.*

COROLLARY 3. *Suppose the subsets X_1, X_2, \dots, X_v of a set $X = \{x_1, x_2, \dots, x_v\}$ form a (v, k, λ) -design. Then there does not exist another subset X_{v+1} of X such that X_{v+1} has k elements and X_{v+1} intersects each X_j ($1 \leq j \leq v$) in λ elements.*

COROLLARY 4. *Suppose the subsets X_1, X_2, \dots, X_v of a set $X = \{x_1, x_2, \dots, x_v\}$ form a (v, k, λ) -design. Then there does not exist another subset X_{v+1} of X such that X_{v+1} has k_1 elements and X_{v+1} intersects each X_j ($1 \leq j \leq v$) in λ elements, where $0 < k_1 < v$.*

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